

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites <u>Data</u>

for every month ending

Data of Month of Nov-2025 ending

| SN | | | Received during the month | Total Pendin g | Resolved* | Pending at the end of the month** | | Average Resolutio n time (in days) |
|----|-------------------------|---|---------------------------------|----------------------|-----------|---|---|---|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | | 0 | 0 |
| 2 | SEBI (SCORE S) | 0 | 0 | 0 | 0 | | 0 | 0 |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | | 0 | 0 |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** | | | |
|----|-------|---|----------|-----------|-----------|--|--|--|

| 1 | Apr-22 | 0 | 0 | 0 | 0 | | |
|----|---------|---|---|---|---|--|--|
| | | | | | | | |
| 2 | May-22 | 0 | 0 | 0 | 0 | | |
| 3 | June-22 | 0 | 0 | 0 | 0 | | |
| 4 | July-22 | 0 | 0 | 0 | 0 | | |
| 5 | Aug-22 | 0 | 0 | 0 | 0 | | |
| 6 | Sep-22 | 0 | 0 | 0 | 0 | | |
| 7 | Oct-22 | 0 | 0 | 0 | 0 | | |
| 8 | Nov-22 | 0 | 0 | 0 | 0 | | |
| 9 | Dec-22 | 0 | 0 | 0 | 0 | | |
| 10 | Jan-23 | 0 | 0 | 0 | 0 | | |
| 11 | Feb-23 | 0 | 0 | 0 | 0 | | |
| 12 | Mar-23 | 0 | 0 | 0 | 0 | | |
| 13 | Apr-23 | 0 | 0 | 0 | 0 | | |
| 14 | May-23 | 0 | 0 | 0 | 0 | | |
| 15 | Jun-23 | 0 | 0 | 0 | 0 | | |
| 16 | Jul-23 | 0 | 0 | 0 | 0 | | |
| 17 | Aug-23 | 0 | 0 | 0 | 0 | | |
| 18 | Sep-23 | 0 | 0 | 0 | 0 | | |
| 19 | Oct-23 | 0 | 0 | 0 | 0 | | |
| 20 | Nov-23 | 0 | 0 | 0 | 0 | | |
| 21 | Dec-23 | 0 | 0 | 0 | 0 | | |
| 22 | Jan-24 | 0 | 0 | 0 | 0 | | |

| 23 | Feb-24 | 0 | 0 | 0 | 0 |
|----|-------------|---|---|---|---|
| 24 | Mar-24 | 0 | 0 | 0 | 0 |
| 25 | Apr-24 | 0 | 0 | 0 | 0 |
| 26 | May-24 | 0 | 0 | 0 | 0 |
| 27 | Jun-24 | 0 | 0 | 0 | 0 |
| 28 | Jul-24 | 0 | 0 | 0 | 0 |
| 29 | Aug-24 | 0 | 0 | 0 | 0 |
| 30 | Sep-24 | 0 | 0 | 0 | 0 |
| 31 | Oct-24 | 0 | 0 | 0 | 0 |
| 32 | Nov-24 | 0 | 0 | 0 | 0 |
| 33 | Dec-24 | 0 | 0 | 0 | 0 |
| 34 | Jan-25 | 0 | 0 | 0 | 0 |
| 35 | Feb-25 | 0 | 0 | 0 | 0 |
| 36 | Mar-25 | 0 | 0 | 0 | 0 |
| 37 | Apr-25 | 0 | 0 | 0 | 0 |
| 38 | May-25 | 0 | 0 | 0 | 0 |
| 39 | Jun-25 | 0 | 0 | 0 | 0 |
| 40 | Jul-25 | 0 | 0 | 0 | 0 |
| 41 | Aug-25 | 0 | 0 | 0 | 0 |
| 42 | Sep-25 | 0 | 0 | 0 | 0 |
| 43 | Oct-25 | 0 | 0 | 0 | 0 |
| 44 | Nov-25 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

*Should include complaints of previous months resolved in the current month, if any. **Should include total complaints pending as on the last day of the month, if any.

| SN | Year | Carried forward from previous year | Received during the year | Resolved during year | Pending at the |
|----|-------------|---|--------------------------------|-------------------------|-----------------|
| | | | | | end of the year |
| 1 | 2021-22 | 0 | 0 | 0 | 0 |
| 2 | 2022- 23 | 0 | 0 | 0 | 0 |
| 3 | 2023-24 | 0 | 0 | 0 | 0 |
| 4 | 2024-25 | 0 | 0 | 0 | 0 |
| 5 | 2025-26 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints