

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites Data

for every month ending

Data of Month of Sep-2024 ending

| S N | Receive d from | Carried forward from previous month | Receive d during the month | Total Pendin g | Reso Ive d* | Pending at the end of the month** | | Average Resolutio n time (in days) |
|--------|-------------------------|---|-------------------------------------|----------------------|----------------|---|---|---|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | 0 |
| 2 | SEBI (SCORE S) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | | 0 | 0 |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|---------|---|----------|-----------|-----------|
| 1 | Apr-22 | 0 | 0 | 0 | 0 |
| 2 | May-22 | 0 | 0 | 0 | 0 |
| 3 | June-22 | 0 | 0 | 0 | 0 |
| 4 | July-22 | 0 | 0 | 0 | 0 |
| 5 | Aug-22 | 0 | 0 | 0 | 0 |
| 6 | Sep-22 | 0 | 0 | 0 | 0 |
| 7 | Oct-22 | 0 | 0 | 0 | 0 |
| 8 | Nov-22 | 0 | 0 | 0 | 0 |
| 9 | Dec-22 | 0 | 0 | 0 | 0 |

| | 1 | | | | |
|----|-------------|---|---|---|---|
| 10 | Jan-23 | 0 | 0 | 0 | 0 |
| 11 | Feb-23 | 0 | 0 | 0 | 0 |
| 12 | Mar-23 | 0 | 0 | 0 | 0 |
| 13 | Apr-23 | 0 | 0 | 0 | 0 |
| 14 | May-23 | 0 | 0 | 0 | 0 |
| 15 | Jun-23 | 0 | 0 | 0 | 0 |
| 16 | Jul-23 | 0 | 0 | 0 | 0 |
| 17 | Aug-23 | 0 | 0 | 0 | 0 |
| 18 | Sep-23 | 0 | 0 | 0 | 0 |
| 19 | Oct-23 | 0 | 0 | 0 | 0 |
| 20 | Nov-23 | 0 | 0 | 0 | 0 |
| 21 | Dec-23 | 0 | 0 | 0 | 0 |
| 22 | Jan-24 | 0 | 0 | 0 | 0 |
| 23 | Feb-24 | 0 | 0 | 0 | 0 |
| 24 | Mar-24 | 0 | 0 | 0 | 0 |
| 25 | Apr-24 | 0 | 0 | 0 | 0 |
| 26 | May-24 | 0 | 0 | 0 | 0 |
| 27 | Jun-24 | 0 | 0 | 0 | 0 |
| 28 | Jul-24 | 0 | 0 | 0 | 0 |
| 29 | Aug-24 | 0 | 0 | 0 | 0 |
| 30 | Sep-24 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

*Should include complaints of previous months resolved in the current month, if any. **Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|---------|--|--------------------------------|-----------------------------|--------------------------------|
| 1 | 2021-22 | 0 | 0 | 0 | 0 |

| 2 | 2022- 23 | 0 | 0 | 0 | 0 |
|---|-------------|---|---|---|---|
| 3 | 2023-24 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |