

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites Data  
for every month ending

Data of Month of Aug-2025 ending

| S<br>N | Receive d from          | Carried<br>forward<br>from<br>previous<br>month | Received<br>during the<br>month | Total<br>Pendin<br>g | Resolved* | Pending at the end of the<br>month**    |                                         | Average<br>Resolutio<br>n time (in<br>days) |
|--------|-------------------------|-------------------------------------------------|---------------------------------|----------------------|-----------|-----------------------------------------|-----------------------------------------|---------------------------------------------|
|        |                         |                                                 |                                 |                      |           | Pending<br>for less<br>than 3<br>months | Pending<br>for more<br>than 3<br>months |                                             |
| 1      | Directly from Investors | 0                                               | 0                               | 0                    | 0         | 0                                       |                                         | 0                                           |
| 2      | SEBI (SCORE S)          | 0                                               | 0                               | 0                    | 0         | 0                                       |                                         | 0                                           |
| 3      | Stock Exchanges         | 0                                               | 0                               | 0                    | 0         | 0                                       |                                         | 0                                           |
| 4      | Other Sources (if any)  | 0                                               | 0                               | 0                    | 0         | 0                                       |                                         | 0                                           |
| 5      | <b>Grand Total</b>      | <b>0</b>                                        | <b>0</b>                        | <b>0</b>             | <b>0</b>  | <b>0</b>                                |                                         | <b>0</b>                                    |

Trend of monthly disposal of complaints

| SN | Month | Carried forward<br>from previous<br>month | Received | Resolved* | Pending** |
|----|-------|-------------------------------------------|----------|-----------|-----------|
|    |       |                                           |          |           |           |

|   |        |   |   |   |   |
|---|--------|---|---|---|---|
| 1 | Apr-22 | 0 | 0 | 0 | 0 |
|---|--------|---|---|---|---|

|    |         |   |   |   |   |
|----|---------|---|---|---|---|
| 2  | May-22  | 0 | 0 | 0 | 0 |
| 3  | June-22 | 0 | 0 | 0 | 0 |
| 4  | July-22 | 0 | 0 | 0 | 0 |
| 5  | Aug-22  | 0 | 0 | 0 | 0 |
| 6  | Sep-22  | 0 | 0 | 0 | 0 |
| 7  | Oct-22  | 0 | 0 | 0 | 0 |
| 8  | Nov-22  | 0 | 0 | 0 | 0 |
| 9  | Dec-22  | 0 | 0 | 0 | 0 |
| 10 | Jan-23  | 0 | 0 | 0 | 0 |
| 11 | Feb-23  | 0 | 0 | 0 | 0 |
| 12 | Mar-23  | 0 | 0 | 0 | 0 |
| 13 | Apr-23  | 0 | 0 | 0 | 0 |
| 14 | May-23  | 0 | 0 | 0 | 0 |
| 15 | Jun-23  | 0 | 0 | 0 | 0 |
| 16 | Jul-23  | 0 | 0 | 0 | 0 |
| 17 | Aug-23  | 0 | 0 | 0 | 0 |
| 18 | Sep-23  | 0 | 0 | 0 | 0 |
| 19 | Oct-23  | 0 | 0 | 0 | 0 |
| 20 | Nov-23  | 0 | 0 | 0 | 0 |
| 21 | Dec-23  | 0 | 0 | 0 | 0 |
| 22 | Jan-24  | 0 | 0 | 0 | 0 |

|    |                    |          |          |          |          |
|----|--------------------|----------|----------|----------|----------|
| 23 | Feb-24             | 0        | 0        | 0        | 0        |
| 24 | Mar-24             | 0        | 0        | 0        | 0        |
| 25 | Apr-24             | 0        | 0        | 0        | 0        |
| 26 | May-24             | 0        | 0        | 0        | 0        |
| 27 | Jun-24             | 0        | 0        | 0        | 0        |
| 28 | Jul-24             | 0        | 0        | 0        | 0        |
| 29 | Aug-24             | 0        | 0        | 0        | 0        |
| 30 | Sep-24             | 0        | 0        | 0        | 0        |
| 31 | Oct-24             | 0        | 0        | 0        | 0        |
| 32 | Nov-24             | 0        | 0        | 0        | 0        |
| 33 | Dec-24             | 0        | 0        | 0        | 0        |
| 34 | Jan-25             | 0        | 0        | 0        | 0        |
| 35 | Feb-25             | 0        | 0        | 0        | 0        |
| 36 | Mar-25             | 0        | 0        | 0        | 0        |
| 37 | Apr-25             | 0        | 0        | 0        | 0        |
| 38 | May-25             | 0        | 0        | 0        | 0        |
| 39 | Jun-25             | 0        | 0        | 0        | 0        |
| 40 | Jul-25             | 0        | 0        | 0        | 0        |
| 41 | Aug-25             | 0        | 0        | 0        | 0        |
|    | <b>Grand Total</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

\*Should include complaints of previous months resolved in the current month, if any. \*\*Should include total complaints pending as on the last day of the month, if any.

| SN | Year               | Carried forward from previous year | Received during the year | Resolved during the year       |          |
|----|--------------------|------------------------------------|--------------------------|--------------------------------|----------|
|    |                    |                                    |                          | Pending at the end of the year |          |
| 1  | 2021-22            | 0                                  | 0                        | 0                              | 0        |
| 2  | 2022- 23           | 0                                  | 0                        | 0                              | 0        |
| 3  | 2023-24            | 0                                  | 0                        | 0                              | 0        |
| 4  | 2024-25            | 0                                  | 0                        | 0                              | 0        |
| 5  | 2025-26            | 0                                  | 0                        | 0                              | 0        |
|    | <b>Grand Total</b> | <b>0</b>                           | <b>0</b>                 | <b>0</b>                       | <b>0</b> |

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### Trend of annual disposal of complaints